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PATENT  
ATTORNEY DOCKET NO. 50026/022002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kano et al. Art Unit: 1632  
Serial No.: 09/823,699 Examiner: Qian J. Li  
Filed: March 30, 2001 Customer No.: 21559  
Title: AIDS VIRUS VACCINES USING SENDAI VIRUS VECTOR

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

To complete the record, Applicants submit U.S. Patent Application Publication Nos. US 2004/0005296 A1, US 2004/0053877 A1, US 2004/0101965 A1, and US 2004/0121308 A1, which are listed on the enclosed Form PTO-1449. (Copies of these publications are also enclosed.) Applicants note that U.S. Patent Application Publication No. US 2004/0005296 A1 is the publication of U.S. Patent Application No. 10/444,661; US 2004/0053877 A1 is the publication of U.S. Patent Application No. 10/398,598; U.S. Patent Application Publication No. US 2004/0101965 A1 is the publication of U.S. Patent Application No. 10/416,252; and U.S. Patent Application Publication No. US

2004/0121308 A1 is the publication of U.S. Patent Application No. 09/979,908. U.S. Patent Application Nos. 10/444,661, 10/398,598, 10/416,252, and 09/979,908 were cited on an IDS filed on July 31, 2003. Applicants submit that the U.S. Patent Application Publications, which correspond to U.S. Patent Applications previously considered by the Office, are listed on the enclosed Form PTO-1449 in accordance with requirements of 37 C.F.R. § 1.98(b)(2).

In the final Office Action mailed on November 20, 2003, the Office, in reference to the July 31, 2003 Information Disclosure Statement ("IDS"), stated:

[T]he information disclosure statement has been considered by the examiner. However, the listed patent applications are not suitable to be listed in PTO-1449, therefore will not be published with the publication.

Applicants respectfully submit that the applications referred to by the Examiner were listed on the Form PTO-1449 submitted with the July 31, 2003 IDS in accordance with the requirements of 37 C.F.R. § 1.98(b)(3)<sup>1</sup> and respectfully request that these applications be published with the publication.

Submission of this statement is not a representation that a search has been made, nor is the inclusion of information in this statement an admission that the information is material to patentability.

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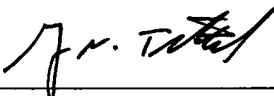
<sup>1</sup> Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.

This statement is being filed after the mailing of a final Office Action, but before the mailing of a Notice of Allowance.

If there are any charges or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: 30 July 2004

  
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| <b>SUBSTITUTE FORM PTO-1449</b><br><b>(MODIFIED)</b><br><br><b>U.S. DEPARTMENT OF COMMERCE</b><br><b>PATENT AND TRADEMARK OFFICE</b><br><br><b>INFORMATION DISCLOSURE</b><br><b>STATEMENT BY APPLICANT</b><br><b>(Use several sheets if necessary)</b><br><br><b>(37 C.F.R. §1.98(b))</b> | <b>Attorney Docket No.</b> | 50026/022002         |
|   | <b>Serial No.</b>          | 09/823,699           |
|   | <b>Applicant</b>           | Munehide Kano et al. |
|   | <b>Filing Date</b>         | March 30, 2001       |
|   | <b>Group</b>               | 1632                 |
|   | <b>IDS Filed</b>           | July 30, 2004        |

**U.S. PATENTS**

| Examiner's<br>Initials | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date<br>(If<br>Appropriate) |
|------------------------|---------------|------------|----------|-------|----------|------------------------------------|
|                        |               |            |          |       |          |                                    |

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

| Examiner's<br>Initials | Document<br>Number | Publication<br>Date | Country or<br>Patent Office | Class | Subclass | Translation<br>(Yes/No) |
|------------------------|--------------------|---------------------|-----------------------------|-------|----------|-------------------------|
|                        |                    |                     |                             |       |          |                         |

**OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)**

|  |  |
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|  | Yonemitsu et al., US 2004/0005296 A1, published January 8, 2004. |
|  | Fukumura et al., US 2004/0053877 A1, published March 18, 2004.   |
|  | Griesenbach et al. US 2004/0101965 A1, published May 27, 2004.   |
|  | Nagai et al., US 2004/0121308 A1, published June 24, 2004.       |
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**EXAMINER****DATE CONSIDERED**

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.